

Adults & Communities Risk Register – June 2020

		Impact				
		1 Insignificant	2 Minor	3 Moderate	4 Major	5 Significant
Likelihood	5 Certain				AC.20	AC.22, AC.23
	4 Likely		AC.06	AC.32, AC.35	AC.01, AC.11, AC.21, AC.30	
	3 Possible		AC.18	AC.03, AC.15, AC.26, AC.27, AC.33	AC.02, AC.04, AC.08, AC.12, AC.13, AC.19	AC.31
	2 Unlikely		AC.09, AC.10	AC.05, AC.14		
	1 Rare					

Ref	Risk Description	Corporate Risk
AC.01	Market workforce economy IF: the current limited capacity within the social care workforce continues THEN: will there will be an impact on availability of services - this is particularly true of Registered Managers and Nurses	Yes (CRR.02)
AC.02	Deprivation of Liberty IF: The authority does not meet the statutory requirements for Deprivation of Liberty Safeguards and individuals are unlawfully deprived of their liberty THEN: The authority faces a risk of being taken to the Court of protection, increasing the risk of Costs and Financial penalties for the Local Authority	Yes (CRR.07)
AC.03	Demographic Pressures IF: due to increasing financial and demographic pressures, the council is unable to meet it's statutory obligations and assess clients in a timely manner and annually review all long-term packages of care THEN: clients might not receive the timely interventions required and we might miss the opportunity to maximise independence	Yes (CRR.17)
AC.04	Market viability IF: Provider services fail, THEN: we will need to manage the transfer of a (large) number of service users in very short timescales, in an already difficult market, with limited capacity.	
AC.05	Supported Housing for Care leavers with complex needs. If the accommodation and support options are not increased, with improved quality and pricing, Then; young people will continue to become homeless, at risk and vulnerable to exploitation and the council will continue to pay too much for support placements with inconsistent outcomes for individuals	
AC.06	Staffing & Recruitment IF: we are unable to recruit to crucial roles THEN there will be a risk to our services	

AC.08	Use of Temporary Accommodation IF: the constraints on the supply of temporary accommodation continues at the same rate THEN this may mean that we are unable to meet our statutory duties under the Housing Act 1986 and Homelessness Reduction Act 2017	
AC.09	Market Capacity IF: providers withdraw or fail due to increased costs, reduced packages due to reablement through Home First, changes to CQC inspections and increase in quality concerns THEN: package costs are likely to increase for our clients and there will be further pressure on capacity in the market	
AC.10	Nursing Capacity IF: the current trends of difficulty in placing in nursing beds due to increased complexity continue THEN there will be a further increase in the spend in this area in order to make placements	
AC.11	NHS Re-organisation IF: there is a major NHS re-organisation THEN this might hinder effective joint working with social care	
AC.12	Care Home Ratings IF: the increasing trend of care homes with reduced ratings by CQC (to either Inadequate or RI) THEN placing people will be more challenging and these homes will require additional support from our staff	
AC.13	Continuing budget pressures and future of ASC funding IF: There continues to be uncertainty around the future and sustainability of adult social care funding, THEN the risk of not meeting statutory functions increases as does the risk of failure within the reliant social care economy in the county, such as care homes. In addition, the opportunity to re-model the way we provide services is limited	
AC.14	SHYPP: IF: The service improvements agreed and managed through the joint project with WM Housing and C&F do not address concerns about referrals, quality and property condition, THEN the SHYPP service will fail, leaving questions about demand among care leavers and delivery models, along with reputational risk.	
AC.15	ICES: IF prescriber engagement and budget mitigation measures do not continue to be effective and also when the re-procurement of ICES is launched THEN there could be a significant overspend of the budget of £1.5m (council share of £525k) and/or also there is significant risk of procurement challenge and associated litigation.	
AC.18	Suicide Prevention Strategy IF the new strategy does not seem to be associated with a reduction in local suicides THEN the council and its partners may be challenged by the public and by the media as failing in their objectives	
AC.19	Integrated Sexual Health Service The appointment of the new provider - Solutions for Health - as a non NHS provider and new to sexual health services has created a tremendous amount of interest and challenge from professional bodies. IF this challenge continues THEN it has a potential to discredit the service and cause poor press which is a risk service performance.	

AC.20	Community Hospital IF the proposed redesign of community hospitals leads to beds reductions or closures THEN this could impact the Local Authority financially and on assessment and care capacity.	
AC.21	Better Care Fund the 19/20 guidance was issued in July 2019 which is significantly late for budget planning. Therefore the LA is spending BCF money without formal approval. IF the plan is not approved THEN the BCF Funding could be at risk to the LA	
AC.22	Access to Health funding (CHC and joint funding) Herefordshire remains in the bottom quartile of cases fully funded meeting CHC eligibility. IF CCG continue to not accept that there are any process or decision making irregularities influencing this trend THEN it remains the fact that ASC are funding above other Local Authorities per 1000 population. Meaning ultimately Herefordshire citizens and the Local Authority potentially are funding Healthcare which should be free to the individual at the point of delivery. Currently the CCG is disputing the Local Authority peer challenge feedback.	
AC.23	Herefordshire and Worcestershire CCG merger. Herefordshire and Worcestershire CCGs are expected to merge from April 2020. Primarily for back office functions only. However, IF services and budgets are merged with Worcestershire THEN the Herefordshire place provision could reduce, key decisions could be made from Worcester which could have a direct impact on Herefordshire population, Local Authority and services provided.	
AC.26	Rising cost of buprenorphine IF the cost of buprenorphine continues to rise THEN this will impact on Addaction's prescribing budget.	
AC.27	NHS Health checks There are performance concerns and concerns about targeting invites. IF these are not resolved THEN there is the potential of high risk individuals not accessing prevention and support at an early stage to reduce or resolve potential long term health issues	
AC.30	Discretionary Housing Payment If the council continues to underspend Discretionary Housing Payment (DHP) by more than 30% annually, <u>then</u> ; housing solutions for the most vulnerable people (homeless, care leavers etc) will continue to be limited, pressure on council budgets will increase whilst government grant reduces and scrutiny will increase on the council's homelessness performance and use of resources.	
AC.31	Social housing providers: If social housing providers continue to be uncertain about whether supported housing schemes will attract housing benefit funding <u>then</u> ; some stockholding providers will withdraw from existing or proposed new schemes and some providers will decline to bid for procurements of supported housing, leading to loss of accommodation and services to care leavers, ex-offenders and disabled people, and reputational, financial and regulatory damage to the council.	
AC.32	Care Home sales: IF there is an Increase number of care homes up for sale due to retirement of ageing local providers. THEN Due to size and other pressures such as workforce within the sector selling as a care home could be very problematic and therefore bed capacity could reduce over the coming year.	
AC.33	Citizen Young People restructure - IF the recruitment process currently underway fails then the reduced staff team will continue to offer core support within the foyers for an extended period of time from 6th January 2020 to beyond early February 2020.	

AC.35	<p>Housing under "everyone In" arrangements: AS part of the Central Government response to the coronavirus the Minister for Local Government and Homelessness required on 26 March that rough sleepers and other vulnerable homeless people were supported into appropriate accommodation with immediate effect. Herefordshire Council have been housing between 66 and 72 people under these arrangements. Much of the cost of this accommodation can and will be recouped by Housing Benefit claims, but current analysis suggest that a shortfall of around £15,000 per week remains where housing benefit cannot be recouped</p>	
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